

# THE HARDY CLASS OF A SPIRAL-LIKE FUNCTION AND ITS DERIVATIVE

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ABSTRACT. A determination is made of the Hardy classes to which a spiral-like univalent function and its derivative belong. An estimate for the size of the Taylor coefficients is deduced.

Let  $f(z)$  be analytic in  $D$ , the unit disc  $|z| < 1$ , and let  $\alpha$  be a real number such that  $|\alpha| < \pi/2$ . If  $f(0) = 0$ ,  $f'(0) \neq 0$ , and if

$$\operatorname{Re}[e^{i\alpha}zf'(z)/f(z)] > 0, \quad z \in D,$$

then  $f(z)$  is univalent [5] and is said to be spiral-like. Under these conditions we have

$$e^{i\alpha}zf'(z)/f(z) = Q(z),$$

where  $\operatorname{Re} Q(z) > 0$  and  $Q(0) = e^{i\alpha}$ . Defining  $P(z) = Q(z) \sec \alpha - i \tan \alpha$ , we may write

$$(1) \quad zf'(z)/f(z) = e^{-i\alpha}[P(z) \cos \alpha + i \sin \alpha],$$

where  $\operatorname{Re} P(z) > 0$ ,  $P(0) = 1$ .

If  $f'(0) = 1$ ,<sup>2</sup> if  $f(z)$  satisfies (1), and if  $\operatorname{Re} P(z) \geq \rho \geq 0$ ,  $z \in D$ , we shall say that  $f(z)$  belongs to the class  $S_{\alpha, \rho}$  [3]. In particular, with  $\alpha = 0$ ,  $S_{0, \rho}$  coincides with the class of normalized starlike functions of order  $\rho$ . The relationship between  $S_{\alpha, \rho}$  and  $S_{0, \rho}$  is indicated in the following lemma.

LEMMA 1.  $f(z) \in S_{\alpha, \rho}$  if and only if there is a  $g(z) \in S_{0, \rho}$  such that

$$(2) \quad [f(z)/z]^{\exp(i\alpha)} = [g(z)/z]^{\cos \alpha},$$

where the branches are chosen so that each side of the equation has the value 1 when  $z = 0$ .

PROOF. If  $g(z) \in S_{0, \rho}$  then  $zg'(z)/g(z) = P(z)$ , where  $\operatorname{Re} P(z) \geq \rho$ ,  $P(0) = 1$ . If  $f(z)$  is defined by (2) then, differentiating logarithmically and multiplying by  $ze^{-i\alpha}$ , we obtain (1), which shows that  $f(z) \in S_{\alpha, \rho}$ .

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<sup>2</sup> This normalization is made only for convenience. The conclusion of Theorem 2 and its corollary remain valid for the classes  $S_{\alpha, \rho}$ , when defined without normalization.

Conversely, if  $f(z) \in S_{\alpha, \rho}$  and if we define  $g(z)$  by

$$g(z)/z = [f(z)/z]^{1+i \tan \alpha},$$

then a similar calculation shows that  $g(z) \in S_{0, \rho}$ .

For a real  $\lambda > 0$ , we say that a function  $h(z)$ , analytic in  $D$ , belongs to the class  $H^\lambda$  if

$$\int_{-\pi}^{\pi} |h(re^{i\theta})|^\lambda d\theta < K$$

for  $0 \leq r < 1$ , where  $K$  is a constant depending on  $h(z)$  and  $\lambda$ .

The following theorem is equivalent to Theorem 6 in [1].

**THEOREM 1.** *If  $g(z) \in S_{0, \rho}$  is not of the form*

$$g(z) = z(1 - ze^{i\tau})^{2\rho-2}$$

for some real  $\tau$ , then

- (i) *there exists  $\delta = \delta(g) > 0$  such that  $g(z)/z \in H^{(1+\delta)/2(1-\rho)}$ ; and*
- (ii) *there exists  $\epsilon = \epsilon(g) > 0$  such that  $g'(z) \in H^{(1+\epsilon)/(3-2\rho)}$ .*

The object of this note is to extend this theorem to the classes  $S_{\alpha, \rho}$ . To do this we require some further lemmas.

**LEMMA 2.** *If  $g(z) \in S_{0, 0}$ , then*

$$|\arg(g(z)/z)| < \pi, \quad z \in D,$$

where the principal value of the argument is taken.

**LEMMA 3.** *If  $Q(z)$  is analytic and  $\operatorname{Re} Q(z) > 0$  in  $D$ , then  $Q(z) \in H^\lambda$  for all  $\lambda < 1$ .*

**LEMMA 4.** *If  $h(z) \in H^\lambda$ ,  $0 < \lambda < 1$ , and  $h(z) = \sum_0^\infty a_n z^n$ , then*

$$a_n = o(n^{(1/\lambda)-1}).$$

Lemma 2 is in [4], Lemma 4 in [2]. Lemma 3 is well known.

The following theorem contains Theorem 1.

**THEOREM 2.** *If  $f(z) \in S_{\alpha, \rho}$  is not of the form*

$$(3) \quad f(z) = z(1 - ze^{i\tau})^{-a}, \quad a = 2(1 - \rho)(\cos \alpha - i \sin \alpha) \cos \alpha$$

for some real  $\tau$ , then

- (i) *there exists  $\delta = \delta(f) > 0$  such that*

$$f(z)/z \in H^\mu, \quad \mu = (1 + \delta) \sec^2 \alpha / 2(1 - \rho);$$

and

(ii) *there exists  $\epsilon = \epsilon(f) > 0$  such that*

$$f'(z) \in H^{\nu}, \quad \nu = (1 + \epsilon)/(1 + 2(1 - \rho) \cos^2 \alpha).$$

PROOF. (i) By Lemma 1, there is a function  $g(z) \in S_{0,\rho}$  such that

$$f(z)/z = [g(z)/z]^{\cos^2 \alpha - i \sin \alpha \cos \alpha}.$$

Taking moduli we obtain

$$|f(z)/z| = |g(z)/z|^{\cos^2 \alpha} \exp[\sin \alpha \cos \alpha \arg(g(z)/z)],$$

so

$$|f(z)/z|^{\mu} = |g(z)/z|^{(1+\delta)/2(1-\rho)} \exp[(1 + \delta) \tan \alpha/2(1 - \rho) \arg(g(z)/z)].$$

By Lemma 2, since  $S_{0,\rho} \subset S_{0,0}$ , the exponential factor is bounded, and the conclusion follows immediately from part (i) of Theorem 1.

(ii) Writing  $e^{i\alpha} zf'(z)/f(z) = Q(z)$ , and applying Lemma 3, we see that

$$(4) \quad zf'(z)/f(z) \in H^{\lambda}, \quad \text{all } \lambda < 1.$$

Next, writing

$$f'(z) = (f(z)/z) (zf'(z)/f(z)),$$

and apply Hölder's inequality with conjugate indices  $p, q$  to  $|f'(z)|^{\lambda}$ , with  $z = re^{i\theta}$  we obtain

$$\int_{-\pi}^{\pi} |f'(z)|^{\lambda} d\theta \leq \left( \int_{-\pi}^{\pi} \left| \frac{f(z)}{z} \right|^{\lambda p} d\theta \right)^{1/p} \left( \int_{-\pi}^{\pi} \left| \frac{zf'(z)}{f(z)} \right|^{\lambda q} d\theta \right)^{1/q} = I_1 \cdot I_2,$$

say. By part (i),  $I_1$  is bounded if we choose  $\lambda, p$  so that  $\lambda p = \mu$ , and by (4),  $I_2$  is bounded if we make the further restriction  $\lambda q < 1$ . This is achieved by taking  $\lambda = \nu$  provided that

$$\nu = \frac{1 + \epsilon}{1 + 2(1 - \rho) \cos^2 \alpha} < \frac{1 + \delta}{1 + \delta + 2(1 - \rho) \cos^2 \alpha},$$

which holds if  $\epsilon$  is sufficiently small.

From part (ii) of the theorem and Lemma 4 we deduce the following:

COROLLARY. *If  $f(z) \in S_{\alpha,\rho}$  is not of the form (3) for some real  $\tau$ , and if*

$$f(z) = \sum_0^{\infty} a_n z^n,$$

*then there exists  $\eta = \eta(f) > 0$  such that*

$$a_n = o(n^{2(1-\rho) \cos^2 \alpha - 1 - \eta}).$$

In conclusion we remark that for the function (3) we have

$$a_n = \frac{\Gamma(a+n)}{\Gamma(n+1)\Gamma(a)} e^{n i \tau},$$

$$|a_n| \sim |n^{a-1}| / |\Gamma(a)| = n^{2(1-\rho) \cos^2 \alpha - 1} / |\Gamma(a)|.$$

This shows that (3) is indeed exceptional in the corollary, and therefore also in part (ii) of Theorem 2; a computation shows also that for the function (3) we have  $f(z)/z \in H^\lambda$  if and only if  $\lambda < \frac{1}{2} \sec^2 \alpha / (1-\rho)$ , so that this function is also exceptional in part (i) of Theorem 2.

A weaker consequence of the corollary, well known for starlike functions, is that for all spiral-like functions  $f(z)$  with the exception only of those of the form  $z(1 - ze^{i\tau})^{-2}$ , we have  $a_n = o(n^{1-\eta})$  for some  $\eta = \eta(f) > 0$ .

ADDED IN PROOF (June 16, 1970). Application of Theorem 2 and of Theorem E of [1] yields the following result for functions of the class  $C_\alpha$  [6].

If  $f(z) \in C_\alpha$  is not of the form

$$f(z) = e^{-i\tau}(1 - ze^{i\tau})^{-a+1}/(a-1) + c, \quad a = 2(\cos \alpha - i \sin \alpha) \cos \alpha$$

for some complex  $c$  and real  $\tau$ , then there exists  $\delta = \delta(f) > 0$  such that

- (i)  $f'(z) \in H^\beta$ ,  $\beta = \frac{1}{2}(1 + \delta) \sec^2 \alpha$ ;
- (ii) if  $|\alpha| < \pi/4$  then  $f(z) \in H^\gamma$ ,  $\gamma = (1 + \delta) \sec^2 \alpha / [2 - (1 + \delta) \sec^2 \alpha]$ .

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