

Volume 11

CRM

CRM  
MONOGRAPH  
SERIES

Centre de Recherches Mathématiques  
Université de Montréal

Higher Regulators,  
Algebraic  $K$ -Theory,  
and Zeta Functions  
of Elliptic Curves

Spencer J. Bloch



American Mathematical Society

## Selected Titles in This Series

### Volume

- 11 **Spencer J. Bloch**  
Higher regulators, algebraic  $K$ -theory, and zeta functions of elliptic curves  
2000
- 10 **James D. Lewis**  
A survey of the Hodge conjecture, Second Edition  
1999
- 9 **Yves Meyer**  
Wavelets, vibrations and scaling  
1998
- 8 **Ioannis Karatzas**  
Lectures on the mathematics of finance  
1996
- 7 **John Milton**  
Dynamics of small neural populations  
1996
- 6 **Eugene B. Dynkin**  
An introduction to branching measure-valued processes  
1994
- 5 **Andrew Bruckner**  
Differentiation of real functions  
1994
- 4 **David Ruelle**  
Dynamical zeta functions for piecewise monotone maps of the interval  
1994
- 3 **V. Kumar Murty**  
Introduction to Abelian varieties  
1993
- 2 **M. Ya. Antimirov, A. A. Kolyskin, and Rémi Vaillancourt**  
Applied integral transforms  
1993
- 1 **D. V. Voiculescu, K. J. Dykema, and A. Nica**  
Free random variables  
1992



Volume 11



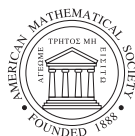
# CRM MONOGRAPH SERIES

Centre de Recherches Mathématiques  
Université de Montréal

## Higher Regulators, Algebraic $K$ -Theory, and Zeta Functions of Elliptic Curves

Spencer J. Bloch

The Centre de Recherches Mathématiques (CRM) of the Université de Montréal was created in 1968 to promote research in pure and applied mathematics and related disciplines. Among its activities are special theme years, summer schools, workshops, postdoctoral programs, and publishing. The CRM is supported by the Université de Montréal, the Province of Québec (FQRNT), and the Natural Sciences and Engineering Research Council of Canada. It is affiliated with the Institut des Sciences Mathématiques (ISM) of Montréal, whose constituent members are Concordia University, McGill University, the Université de Montréal, the Université du Québec à Montréal, and the Ecole Polytechnique.



**American Mathematical Society**  
Providence, Rhode Island USA

The production of this volume was supported in part by the Fonds Québécois de la Recherche sur la Nature et les Technologies (FQRNT) and the Natural Sciences and Engineering Research Council of Canada (NSERC).

2000 *Mathematics Subject Classification*. Primary 19F27; Secondary 14G10, 19D50.

ABSTRACT. Work of Borel on higher regulators for number fields is discussed. The Borel regulator for  $K_3$  of a number field is described explicitly in terms of the dilogarithm function.

A generalization, based on functions related to the dilogarithm and to the Dedekind  $\eta$ -function, leads to a regulator for  $K_2$  of an elliptic curve  $E$  over a number field. Elements in  $K_2(E)$  analogous to cyclotomic units are described. The regulator is evaluated on these elements and the resulting values related to the value of the Hasse-Weil zeta function of  $E$  at  $s = 2$  when  $E$  has complex multiplication. This regulator formula is worked out in detail for the case of  $E$  defined over  $\mathbb{Q}$  with complex multiplication by the ring of integers in an imaginary quadratic field, when it takes a particularly simple form.

---

#### Library of Congress Cataloging-in-Publication Data

Bloch, Spencer.

Higher regulators, algebraic K-theory, and zeta functions for elliptic curves / Spencer J. Bloch.  
p. cm. — (CRM monograph series, ISSN 1065-8599 ; v. 11)

Includes bibliographical references and index.

ISBN 0-8218-2114-8 (alk. paper)

1. Curves, Elliptic. 2. K-theory. 3. Functions, Zeta. I. Title. II. Series.

QA567.2.E44.B56 2000

516.3'52—dc21

00-029969

---

**Copying and reprinting.** Individual readers of this publication, and nonprofit libraries acting for them, are permitted to make fair use of the material, such as to copy a chapter for use in teaching or research. Permission is granted to quote brief passages from this publication in reviews, provided the customary acknowledgment of the source is given.

Republication, systematic copying, or multiple reproduction of any material in this publication is permitted only under license from the American Mathematical Society. Requests for such permission should be addressed to the Acquisitions Department, American Mathematical Society, 201 Charles Street, Providence, Rhode Island 02904-2294 USA. Requests can also be made by e-mail to [reprint-permission@ams.org](mailto:reprint-permission@ams.org).

© 2000 by the American Mathematical Society. All rights reserved.

Reprinted in soft cover by the American Mathematical Society, 2011.

The American Mathematical Society retains all rights

except those granted to the United States Government.

Printed in the United States of America.

∞ The paper used in this book is acid-free and falls within the guidelines established to ensure permanence and durability.

This volume was typeset using  $\mathcal{A}\mathcal{M}\mathcal{S}$ -TeX,

the American Mathematical Society's  $\text{\TeX}$  macro system,

and submitted to the American Mathematical Society in camera ready

form by the Centre de Recherches Mathématiques.

Visit the AMS home page at <http://www.ams.org/>

10 9 8 7 6 5 4 3 2 1      16 15 14 13 12 11

## **Author's Apology**

“The author apologizes for the long delay in publishing this monograph. The reader should understand that since this work was done, fundamental ideas of A. Beilinson, A. Suslin, V. Voevodsky, and others have totally transformed the landscape. Sometimes it is fun to drive around in a Model T Ford but one should be aware there are much faster cars on the road.”



## Contents

|   |    |
|---|----|
| Author's Apology  | v  |
| Acknowledgments   | ix |
| Lecture 0. Introduction                                   | 1  |
| Lecture 1. Tamagawa Numbers                               | 9  |
| Lecture 2. Tamagawa Numbers. Continued                    | 15 |
| Lecture 3. Continuous Cohomology                          | 23 |
| Lecture 4. A Theorem of Borel and its Reformulation       | 29 |
| Lecture 5. The Regulator Map. I                           | 35 |
| Lecture 6. The Dilogarithm Function                       | 43 |
| Lecture 7. The Regulator Map. II                          | 51 |
| Lecture 8. The Regulator Map and Elliptic Curves. I       | 61 |
| Lecture 9. The Regulator Map and Elliptic Curves. II      | 69 |
| Lecture 10. Elements in $K_2(E)$ of an Elliptic Curve $E$ | 75 |
| Lecture 11. A Regulator Formula                           | 87 |
| Bibliography  | 95 |
| Index   | 97 |





## Acknowledgments

I should like to express my gratitude to Bill Messing and the Department of Mathematics at Irvine for inviting me to give these lectures. At the time of the lectures the whole relationship between the regulator and the zeta function of an elliptic curve was purely conjectural. Messing's interest gave me the fortitude to push through the complicated business. I also want to acknowledge many fruitful conversations with D. Wigner about the dilogarithm function. It was he who first wrote down the function  $D(x)$  (0.3.4) and worked out a number of its properties.

Spencer J. Bloch  
Department of Mathematics  
The University of Chicago  
Chicago, IL 60637  
USA





## Bibliography

- [Bas71] H. Bass,  *$K_2$  des corps globaux* [d'après J. Tate, H. Garland,...], Exp. No. 394, Séminaire Bourbaki, 23<sup>e</sup> année (1970/1971), Lecture Notes in Math., vol. 244, 1971, pp. 233–255.
- [Blo78] S. Bloch, *Applications of the dilogarithm function in algebraic K-theory and algebraic geometry*, Proceedings of the International Symposium on Algebraic Geometry (Kyoto, 1977) (M. Nagata, ed.), Kinokuniya, Tokyo, 1978, pp. 103–114.
- [Bor53] A. Borel, *Sur la cohomologie des espaces fibrés principaux et des espaces homogènes de groupes de Lie compacts*, Ann. of Math. (2) **57** (1953), 115–207.
- [Bor74] A. Borel, *Stable real cohomology of arithmetic groups*, Ann. Sci. École Norm. Sup. (4) **7** (1974), 235–272.
- [Bor77] A. Borel, *Cohomologie de  $SL_n$  et valeurs de fonctions zeta aux points entiers*, Ann. Scuola Norm. Sup. Pisa Cl. Sci. (4) **4** (1977), no. 4, 613–636.
- [BS66] Z. I. Borevich and I. R. Shafarevich, *Number theory*, Pure Appl. Math., vol. 20, Academic Press, New York-London, 1966.
- [BSD65] B. Birch and H. P. F. Swinnerton-Dyer, *Notes on elliptic curves. II*, J. Reine Angew. Math. **218** (1965), 79–108.
- [Cox35] H. S. M. Coxeter, *The functions of Schläfli and Lobatschevsky*, Quart. J. Math. Oxford Ser. **6** (1935), 13–29.
- [Hel62] S. Helgason, *Differential geometry and symmetric spaces*, Pure Appl. Math., vol. 12, Academic Press, New York-London, 1962.
- [HM62] G. Hochschild and G. D. Mostow, *Cohomology of Lie groups*, Illinois J. Math. **6** (1962), 367–401.
- [Keu78] F. Keune, *The relativization of  $K_2$* , J. Algebra **54** (1978), no. 1, 159–177.
- [Lan73] S. Lang, *Elliptic functions*, Addison-Wesley, Reading, MA-London-Amsterdam, 1973.
- [Ler51] J. Leray, *Sur l'homologie des groupes de Lie, des espaces homogènes, et des espaces fibrés principaux*, Colloque de topologie (espace fibrés) (Bruxelles, 1950), G. Thone, Liège, 1951, pp. 101–115.
- [Lic73] S. Lichtenbaum, *Values of zeta-functions, étale cohomology, and algebraic K-theory*, Algebraic K-Theory. II. “Classical” Algebraic K-Theory and Connections with Arithmetic (Seattle, WA, 1972) (H. Bass, ed.), Lecture Notes in Math., vol. 342, Springer-Verlag, Berlin-New York, 1973, pp. 489–501.
- [Moo76] C. C. Moore, *Group extensions and cohomology. III*, Trans. Amer. Math. Soc. **221** (1976), no. 1, 1–33.

- [Qui73] D. Quillen, *Higher algebraic K-theory. I*, Algebraic K-Theory. I. Higher K-Theories (Seattle, WA, 1972) (H. Bass, ed.), Lecture Notes in Math., vol. 341, Springer-Verlag, Berlin-New York, 1973, pp. 85–147.
- [Rog07] L. J. Rogers, *On function sums connected with the series  $\sum x^n/n^2$* , Proc. London Math. Soc. (2) **4** (1907), 169–189.
- [Tat71] J. Tate, *Symbols in arithmetic*, Actes du Congrès International des Mathématiciens (Nice, 1970), vol. 1, Gauthier-Villars, Paris, 1971, pp. 201–211.
- [vE53] W. I. van Est, *Group cohomology and Lie algebra cohomology in Lie groups. I*, Nederl. Akad. Wetensch. Proc. Ser. A **56** (1953), 484–492, II, 493–504.
- [Wei61] A. Weil, *Adeles and algebraic groups*, Institute for Advanced Study, Princeton, NJ, 1961.
- [Wei67] A. Weil, *Basic number theory*, Grundlehren Math. Wiss., vol. 144, Springer-Verlag, New York, 1967.

## Index

- $K$ -theory, 1, 32, 35, 36, 51
- Bass, 1
- Birch, 3
- Borel, ii, 2, 3, 12, 25, 29, 33, 34, 54, 58, 93
- Borel regulator, ii, 4
- class number formula, 1, 3
- Coates, 3
- continuous cohomology, 2, 23, 24, 29, 32
- convergence factors, 11–13, 15, 16
- Coxeter, 60
- Deuring, 92
- dilogarithm function, ii, 43
- Dirichlet  $L$ -series, 6, 53, 56
- Eilenberg, 23
- elliptic curves, ii, ix, 2–4, 35, 39, 60, 61, 87, 93
- elliptic functions, 5, 62, 64, 67, 69, 75
- Fubini, 10
- Hasse-Weil zeta function, ii, 3
- Hilbert class field, 3, 92
- indecomposables, 26, 30
- Keune, 4, 39, 40
- Kummer, 5, 6, 51
- Lichtenbaum, 1, 2
- MacLane, 23
- Matsumoto, 39, 40
- Milnor, 1
- Poisson summation, 20, 21
- Quillen, 1, 2, 35–37
- regulator, ii, 1, 3, 6, 35, 60, 61, 75, 77
- regulator formula, ii, 51, 87, 91
- regulator map, 3, 5, 6, 34, 35, 53, 61, 75
- restriction of scalars, 12
- Steinberg function, 40, 43, 48, 61, 62, 73
- Steinberg symbol, 4
- Stienstra, 40
- Swinnerton-Dyer, 3
- Tamagawa measure, 11–13, 18
- Tamagawa number, 9, 12, 16
- Wigner, ix, 4
- Wiles, 3
- zeta function, 1, 6, 17, 18, 20, 51, 61, 92, 93













## Higher Regulators, Algebraic $K$ -Theory, and Zeta Functions of Elliptic Curves

Spencer J. Bloch

This book is the long-awaited publication of the famous Irvine lectures by Spencer Bloch. Delivered in 1978 at the University of California at Irvine, these lectures turned out to be an entry point to several intimately-connected new branches of arithmetic algebraic geometry, such as regulators and special values of L-functions of algebraic varieties, explicit formulas for them in terms of polylogarithms, the theory of algebraic cycles, and eventually the general theory of mixed motives which unifies and underlies all of the above (and much more). In the 20 years since, the importance of Bloch's lectures has not diminished. A lucky group of people working in the above areas had the good fortune to possess a copy of old typewritten notes of these lectures. Now everyone can have their own copy of this classic.

ISBN 978-0-8218-2973-8



9 780821 829738

CRMM/11.S

AMS *on the Web*  
[www.ams.org](http://www.ams.org)